



This form is required by federal regulations as a means to identify and document information for individuals who own and/or control a legal entity.

What is this form?

To help the government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. Legal entities can be abused to disguise involvement in terrorist financing, money laundering, tax evasion, corruption, fraud, and other financial crimes. Requiring the disclosure of key individuals who ultimately own or control a legal entity (i.e., the beneficial owners) helps law enforcement investigate and prosecute these crimes.

Who must complete this form?

This form must be completed by the person opening a new account on behalf of a legal entity with any of the following U.S. financial institutions: (i) a bank or credit union; (ii) a broker or dealer in securities; (iii) a mutual fund; (iv) a futures commission merchant; (v) International Banking Entities; or (vi) an introducing broker in commodities.

For the purposes of this form, a legal entity includes a corporation, limited liability company, partnership, and any other similar business entity formed in the United States or a foreign country.

What information do I have to provide?

This form requires you to provide the name, address, date of birth and social security number (or passport number or other similar information, in the case of foreign persons) for the following individuals (i.e., the beneficial owners):

- I. Everyone, if any, who owns, directly or indirectly, 10 percent (10%) or more of the equity interests of the legal entity customer (e.g., each natural person that owns 10 percent (10%) or more of the shares of a corporation); and
II. An individual with significant responsibility for managing the legal entity customer (e.g., a Chief Executive Officer, Chief Financial Officer, Chief Operating Officer, Managing Member, General Partner, President, Vice President or Treasurer).

Please provide a copy of the driver's license (U.S. individuals only) or a valid / unexpired passport document (in the case of a foreign individual) for each beneficial owner and for the authorized individual with significant management responsibility as identified in this form.

Legal Entity Information (If you are unable to complete this form electronically, please print legibly in blue or black ink.)

Entity Name Entity's Federal Tax ID

Legal Name of Individual Establishing Bancredito's Relationship Title of Individual Establishing Bancredito's Relationship

I. Beneficial Owners

Identify each individual who owns—directly or indirectly through any agreement, arrangement, understanding, relationship, or otherwise—10% or more of the equity interests of the legal entity. Only check once for the authorized individual with significant management responsibility.

Beneficial Owner 1: \_\_\_% of ownership

Check this box if this owner is also the Authorized Individual with Significant Management Responsibility.

Note: This box may be checked only once per certification. If checked, please fill out part II of this form according to the instructions.

Individual Legal Name

Street Address

City State ZIP Code Country



Social Security Number

Date of Birth

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Driver's License Number (U.S. Citizens Only)

Driver's License State of Issuance

Driver's License Expiration Date

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Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)

Passport Country of Issuance

Passport Expiration Date

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**Beneficial Owner 2:** \_\_\_\_\_% of ownership

**Check this box if this owner is also the Authorized Individual with Significant Management Responsibility.**

Note: This box may be checked only once per certification. If checked, please fill out part II of this form according to the instructions.

Individual Legal Name

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Street Address

-----

City

State

ZIP Code

Country

-----

Social Security Number

Date of Birth

-----

Driver's License Number (U.S. Citizens Only)

Driver's License State of Issuance

Driver's License Expiration Date

-----

Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)

Passport Country of Issuance

Passport Expiration Date

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**Beneficial Owner 3:** \_\_\_\_\_% of ownership

**Check this box if this owner is also the Authorized Individual with Significant Management Responsibility.**

Note: This box may be checked only once per certification. If checked, please fill out part II of this form according to the instructions.

Individual Legal Name

-----

Street Address

-----

City

State

ZIP Code

Country

-----

Social Security Number

Date of Birth

-----



Driver's License Number (U.S. Citizens Only)

Driver's License State of Issuance

Driver's License Expiration Date

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Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)

Passport Country of Issuance

Passport Expiration Date

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**Beneficial Owner 4:** \_\_\_\_\_ % of ownership

**Check this box if this owner is also the Authorized Individual with Significant Management Responsibility.**

Note: This box may be checked only once per certification. If checked, please fill out part II of this form according to the instructions.

Individual Legal Name

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Street Address

-----

City

State

ZIP Code

Country

-----

Social Security Number

Date of Birth

-----

Driver's License Number (U.S. Citizens only)

Driver's License State of Issuance

Driver's License Expiration Date

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Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)

Passport Country of Issuance

Passport Expiration Date

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**If you have not identified the final individual / beneficial owner of the company in this document, please use an additional sheet.**

**II. Authorized Individual with Significant Management Responsibility**

Provide information for one individual with significant responsibility for managing the legal entity (ex: CEO, CFO, managing member, general partner, president, treasurer, etc.). If this individual is noted as an owner above and the appropriate box is checked, only the name and title are required.

Individual Legal Name

Position Title

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Street Address

City

State

ZIP code

Country

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Social Security Number

Date of Birth

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Driver's License Number (U.S. Citizens only)

Driver's License State of Issuance

Driver's License Expiration Date

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Passport Number (Required for Non-U.S. Citizens; Alternate ID Option for U.S. Citizens)

Passport Country of Issuance

Passport Expiration Date

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I, \_\_\_\_\_ hereby certify to the best of my knowledge that the information provided above is complete and correct.

Signature

Date

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For internal BIBTC use only

<input type="checkbox"/> OFAC Check <input type="checkbox"/> World Check / RDC / Other sources <input type="checkbox"/> Copies of Identifications <input type="checkbox"/> Organizational Chart
COMMENTS:
Date: Account Executive Name: Signature: